

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Brian T. Dawson      Examiner: Scott S. Trotter  
Application No.: 09/972,193      Confirmation No.: 3111  
Filing Date: October 9, 2001      Art Unit: 3694  
For: APPARATUS AND METHODS FOR HANDLING TRADING DATA  
Mail Stop Amendment  
Hon. Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**AMENDMENT**

Sir:

Responsive to the Office Action mailed August 7, 2007, applicant hereby amends the above-identified patent application as follows:

**Amendments to the Specification** begin on page 2 of this Reply.

**Amendments of the Claims** are reflected in the listing of claims that begins on page 6 of this Reply.

**Remarks** begin on page 20 of this Reply.

**Conclusion** begins on page 26 of this Reply.

**Authorization** begins on page 28 of this Reply.